



Sheet 1 of 3

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use several sheets if necessary)	ATTY. DOCKET NO. 178-321	SERIAL NO. 10/615,492
	APPLICANT Stanislaus Wong	CONFIRMATION NO. 8977
	FILING DATE July 7, 2003	GROUP Unassigned

## U.S. PATENT PUBLICATIONS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE

## U.S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
						YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

ST		Banarjee et al., "Functionalization of Carbon Nanotubes with a Metal-Containing Molecular Complex" <i>Nano Lett.</i> , 2(1):49-53 (November 1, 2001).
ST		Banarjee et al., "Rational Sidewall Functionalization and Purification of Single-Walled Carbon Nanotubes by Solution-Phase Ozonolysis" <i>J. Phys. Chem. B</i> , 106:12144-12151 (November 1, 2002).
ST		Banarjee et al., "Structural Characterization, Optical Properties, and Improved Solubility of Carbon Nanotubes Functionalized with Wilkinson's Catalyst" <i>J. Am. Chem. Soc.</i> , 124:8940-8948 (July 4, 2002).

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## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) (Cont.)

5T		Banarjee et al., "Synthesis and Characterization of Carbon Nanotube-Nanocrystal Heterostructures" <i>Nano Lett.</i> , 2(3):195-200 (January 12, 2002).
5T		Kahn et al., "Solubilization of Oxidized Single-Walled Carbon Nanotubes in Organic and Aqueous Solvents through Organic Derivatization" <i>Nano Lett.</i> , 2(11):1215-1218 (October 2, 2002).
5T		Sinnott, Susan B., "Chemical functionalization of carbon nanotubes" <i>Journal of Nanoscience and Nanotechnology</i> , 2(2):113-123 (2002).
5T		Chen et al., "Chemical attachment of organic functional groups to single-walled carbon nanotube material" <i>J. Mater. Res.</i> , 13(9):2423-2431 (Sept. 1998).
5T		Ebbesen, Thomas W., "Wetting, filling and decorating carbon nanotubes" <i>Journal of Physics and Chemistry of Solids</i> , 57(6-8, Proceedings of the 8th International Symposium on Intercalation Compounds, 1995):951-955 (1996).
5T		Holzinger et al., "Sidewall Functionalization of Carbon Nanotubes" <i>Angew. Chem. Int. Ed.</i> , 40(21):4002-4005 (2001).
5T		Chen et al., "Dissolution of Full-Length Single-Walled Carbon Nanotubes" <i>J. Phys. Chem. B</i> , 105:2525-2528 (March 10, 2001).
5T		Chen, et al., "Noncovalent Sidewall Functionalization of Single-Walled Carbon Nanotubes for Protein Immobilization" <i>J. Am. Chem. Soc.</i> , 123:3838-3839 (April 18, 2001).

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JT		Wong, et al., "Covalently-Functionalized Single-Walled Carbon Nanotube Probe Tips for Chemical Force Microscopy" <i>J. Am. Chem. Soc.</i> , 120:8557-8558 (August 5, 1998).
JT		Chen, et al., "Solution Properties of Single-Walled Carbon Nanotubes" <i>Science (Washington, D.C.)</i> , 282:95-98 (October 2, 1998).
JT		Riggs, et al., "Strong Luminescence of Solubilized Carbon Nanotubes" <i>J. Am. Chem. Soc.</i> 122:5879-5880 (June 2, 2000).
JT		Hamon, et al., "Dissolution of Single-Walled Carbon Nanotubes" <i>Adv. Mater. (Weinheim, Ger.)</i> , 11(10):834-840.
JT		Mickelson, et al., "Fluorination of single-wall carbon nanotubes" <i>Chem. Phys. Lett.</i> , 296:188-194 (October 30, 1998).
JT		Boul, et al., "Reversible sidewall functionalization of buckytubes" <i>Chem. Phys. Lett.</i> , 310:367-372 (September 3, 1999).
JT		Pompeo, et al., "Water Solubilization of Single-Walled Carbon Nanotubes by Functionalization with Glucosamine" <i>Nano Lett.</i> , 2(4):369-373 (January 26, 2002).
JT		Bandyopadhyaya, et al., "Stabilization of Individual Carbon Nanotubes in Aqueous Solutions" <i>Nano Lett.</i> , 2(1):25-28 (November 22, 2001).

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